

PTO/SB/21 (06-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

24

Application Number	09/882,570
Filing Date	09/21/2001
First Named Inventor	Meng-Shin Yen
Group Art Unit	2651
Examiner Name	Psitos, Aristotelis M
Attorney Docket Number	APIP0101USA

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Responses to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WINSTON HSU
Signature	
Date	3/1/2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	
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PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known

Application Number	09/682,570
Filing Date	09/21/2001
First Named Inventor	Meng-Shin Yen
Examiner Name	Psitos, Aristotelis M
Group Art Unit	2651
Attorney Docket No.	APIP0101USA

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-0801

Deposit Account Name

North America International Patent Office

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (S) Fee Code (S) Fee Description

101 740 201 370 Utility filing fee

108 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 206 370 Reissue filing fee

114 160 214 80 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ 0.00)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	
			-3** =	X	

Large Entity Small Entity

Fee Code (S) Fee Code (S) Fee Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 260 204 140 Multiple dependent claim, if not paid

109 84 209 42 ** Reissue independent claims over original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 480	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,260	241 840	Petition to revive - unintentional	
142 1,260	242 640	Utility issue fee (or reissue)	
143 480	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
128 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	189 900	Request for expedited examination of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$ 0.00)			

SUBMITTED BY	WINSTON HSU	Complete if applicable
Name (Print/Type)	Registration No. 41,526	Telephone 886-2-8923-7350
Signature	Date 3/1/2002	

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PTO/SB/07 (08-00)

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Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the
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Date

Melissa Tseng (曾美雅)

Signature

Melissa Tseng (曾美雅)

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

APPLICATION NUMBER : 09/682,570

PAPERS INCLUDED :

(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Request for Drawing Changes	1 PAGE
(4) Drawing	5 PAGES
(5) Response to the Office Action	16 PAGES

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**NORTH AMERICA
INTERNATIONAL PATENT OFFICE**

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W.C.
**FAX TO : Aristotelis M Psitos , ART UNIT : 2651 ,
Tel : (703) 308-1598 Fax : (703) 872-9314**

FROM : WINSTON HSU , PATENT AGENT , REG. NO. : 41,526

SUBJECT : SERIAL NO. : 09/682,570

**RESPONSE TO THE OFFICE ACTION
TOTAL PAGES :26 PAGES (INCLUDING COVER PAGE)**

The hardcopy of this response will be sent to USPTO by Federal
Express right away.

WINSTON HSU 2002/03/01

Received from <886289237390> at 3/1/02 2:17:18 AM [Eastern Standard Time]

3-1-02 *π**PATENT**HJM 4-22-02*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Meng-Shin Yen, William Wai Wang, Tso-Tsai Chen,
5 and Chi-Hung Chen

Examiner: Psitos, Aristotelis M.

Filing Date: 09/21/2001 Art Unit: 2651

Serial No.: 09/682,570 Docket No.: APIP0101USA

10 Title: CD-RW DRIVE WITH MULTI-STAGE LINEAR VELOCITIES AND
DIFFERENT RECORDING SPEEDS AND RECORDING POWERS FOR
EACH STAGE

To: Official Draftsperson
15 Washington, D.C. 20231

Subject: Response to PTO-948 dated 12/03/2001

Dear Sir/Madam:

20 In response to drawing informalities, please accept five (5) new pages of drawings, having figures 1 to 8 thereon. Please be advised that the term "Prior art" has been added to Fig.1 in response to an objection held by the Examiner.

25 Respectfully submitted,

30 *Winston Hsu* Date: 3/1/2002
Winston Hsu, Patent Agent No.41,526
5F, No.389, Fu-Ho Rd.,
Yungho City, Taipei Hsien, Taiwan, R.O.C.
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